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CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being transmitted to Group Art Unit 2834, 703-872-9306, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA

Date: December 18, 2003

P. mulan

PATENT 36856.478

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Takashi IWAMOTO et al.

Serial No.: 09/847,989

Filed: May 3, 2001

Title: SURFACE ACOUSTIC WAVE DEVICE

Art Unit: 2834

Examiner: P Cuevas

INFORMATION DISCLOSURE STATEMENT

COMMISSIONER FOR PATENT P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, submitted herewith are copies of three references cited in the enclosed examination report issued in a corresponding European patent application. The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

Applicants certify that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the date of this statement, and that this is the first citation of these prior art references by a foreign patent office in a counterpart foreign patent application. Accordingly, no fee is necessary for the filing of this statement. Should the Commissioner determine

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otherwise, the Commissioner is authorized to charge Deposit Account No. 50-1353 for any fee shortages, including the petition fee under 37 C.F.R. § 1.17(p).

Applicant(s) respectfully request(s) that the disclosed reference(s) be made of record in the subject application.

Respectfully submitted,

Date: December 18, 2003

Attorneys for Applicant(s)

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PTO/SB/08A (04-03)

Substitute for form 1449/PTO	Complete if Known	
000000000000000000000000000000000000000	Application Number	09/847,989
INFORMATION DISCLOSURI	Filing Date	May 3, 2001
	First Named Inventor	Takashi IWAMOTO
STATEMENT BY APPLICANT	Art Unit	2834
(Use as many sheets as necessary)	Examiner Name	P. Cuevas
Sheet 1 of 1	Attorney Docket Number	36856.478

U.S. PATENT DOCUMENTS							
Examiner Cite		posament number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where		
Initials*	No.1	Number-Kind Code 2(if known)	MM -YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear		
							
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		FC	REIGN PATEN	T DOCUMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code>Number-Kind Code *(if known)	Publication Date MM -YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	1e
	1	JP 62-168410	07/1987	JAPAN	ABSTRACT ONLY	X
	2	JP 10-247835	09/1998	JAPAN	ABSTRACT ONLY	X
	3	JP 11-234082	08/1999	JAPAN	ABSTRACT ONLY	Х
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Examiner	 Date	
Signature	Considered	

file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.

^{*}Examiner: Initial if reference considered, whether of not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant.

¹ Applicant unique citation designation number (optional). ²See Kind Codes of USPTO Patent documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that Issued the document by the two-letter code (WIPO Strandard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Abstract is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to



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Europäisches Patentamt

Zweigstelle in Den Haag Recherchenabteilung European Patent Office

Branch at The Hague Search Office européen des brevets

Département à La Haye Division de la recherche

Thévenet, Jean-Bruno Cabinet Beau de Loménie 158, rue de l'Université 75340 Paris Cédex 07 FRANCE

ORDRE REÇU 27.10.03 12788 Cabinet Seau de Loménie

Datum/Date 28.10.03

Zeichen/Ref./Réf.

J136120-362EPO/

Anmeldung Nr./Application No./Demande n°./Patent Nr./Patent No./Brevet n°.

01401315.5-2215-

Anmelder/Applicant/Demandeur/Patentinhaber/Proprietor/Titulaire
Murata Manufacturing Co., Ltd.

COMMUNICATION

The European Patent Office herewith transmits as an enclosure the European search report for the above-mentioned European patent application.

If applicable, copies of the documents cited in the European search report are attached.

Additional set(s) of copies of the documents cited in the European search report is (are) enclosed as well.

The following specifications given by the applicant have been approved by the Search Division:

(X) abstract

X title

☐ The abstract was modified by the Search Division and the definitive text is attached to this communication.

The following figure will be published together with the abstract:

2

REFUND OF THE SEARCH FEE

If applicable under Article 10 Rules relating to fees, a separate communication from the Receiving Section on the refund of the search fee will be sent later.





EUROPEAN SEARCH REPORT

Application Number EP 01 40 1315

Category	Citation of document with i of relevant pass	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.CI.7)	
Y A	PATENT ABSTRACTS OF vol. 012, no. 007 (9 January 1988 (198	JAPAN E-571), 88-01-09) ICTOR CO OF JAPAN LTD),	1,3,4 5-11,13,	H03H3/08 H03H9/145
	PATENT ABSTRACTS OF vol. 1998, no. 14, 31 December 1998 (1 & JP 10 247835 A (K LTD), 14 September * abstract *	998-12-31) OKUSAI ELECTRIC CO	1,3,4	
	PATENT ABSTRACTS OF vol. 1999, no. 13, 30 November 1999 (19 & JP 11 234082 A (To 27 August 1999 (1999) * abstract *	999-11-30) OKO INC),	1-4,7	TECHNICAL FIELDS SEARCHED (Int.CI.7) H03H
	The present search report has be	een drawn up for all claims		
	Place of search	Date of completion of the search	1	Examiner
1	HE HAGUE	16 October 2003	Copp	ieters, C
X : partice Y : partice docum A : techno O : non-w	regory OF CITED DOCUMENTS starty relevant if taken alone starty relevant if combined with anothe lent of the same callegory looplest background written disclosure ediate document	T: theory or principle i E: earlier patent docu- after the tiling date or O: document cited for L: document cited for &: member of the sam document	ment, but publish he application other reasons	ed on, or

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ANNEX TO THE EUROPEAN SEARCH REPORT ON EUROPEAN PATENT APPLICATION NO.

EP 01 40 1315

This annex lists the patent family members relating to the patent documents cited in the above-mentioned European search report. The members are as contained in the European Patent Office EDP file on The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

16-10-2003

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JP	62168410	А	24-0	7-1987	NONE			
JP	10247835	A	14-0	9-1998	NONE			
JP	11234082	Α	27-0	8-1999	NONE			
								
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